

On Some Analytic Operator Functions in the Theory of Hermitian Operators

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Abstract

A densely defined Hermitian operator A_0 with equal defect numbers is considered. Presentable by means of resolvents of a certain maximal dissipative or accumulative extensions of A_0 , bounded linear operators acting from some defect subspace \mathfrak{N}_γ to arbitrary other \mathfrak{N}_λ are investigated. With their aid are discussed characteristic and Weyl functions. A family of Weyl functions is described, associated with a given self-adjoint extension of A_0 . The specific property of Weyl function's factors enabled to obtain a modified formulas of von Neumann. In terms of characteristic and Weyl functions of suitably chosen extensions the resolvent of Weyl function is presented explicitly.

Key Words: Hermitian operator, maximal extension, resolvent, characteristic function, Weyl function, von Neumann's formulas

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1. Introduction

The present paper concerns with maximal extensions of a closed, densely defined Hermitian operator A_0 with equal defect numbers. Characteristic function (Θ -function) of a maximal dissipative or accumulative extension, and Weyl function (M -function) of a self-adjoint extension are treated with the help of operator-valued function $\Theta(\gamma, \lambda) = \mathcal{P}_\gamma|_{\mathfrak{N}_\lambda}$. Projection-valued function \mathcal{P}_γ is given by direct-sum decompositions

$$\mathcal{D}(A_0^*) = \mathcal{D}(A_0) \dot{+} \mathfrak{N}_\gamma \dot{+} \mathfrak{N}_{\bar{\gamma}}, \quad \text{Im } \gamma \neq 0.$$

Explicit presentation of both \mathcal{P}_γ and $\Theta(\gamma, \lambda)$ in terms of resolvents of dissipative or accumulative extension $A_\gamma = A_0^*|_{\text{Ker } \mathcal{P}_{\bar{\gamma}}}$ allowed to identify various definitions of Θ -function of

A_γ . The features of $\Theta(\gamma, \lambda)$ are suffice to present the main properties of M -function without employing the concept of space of abstract boundary values.

The content of this paper is as follows.

In Sec. 2 we consider extensions A_γ and their resolvents. Introducing $\Theta(\gamma, \lambda)$ operators and examining their properties we arrive at (2×2) -matrix function $W(\gamma, \lambda)$ with operator entries, which provides the Kreĭn-Shmulyan inter-spherical linear fractional transformation $\Phi_{W(\gamma, \lambda)}(\cdot)$ [6].

In Sec. 3 we briefly review and unify some basic material on Θ -functions. It is shown that Θ -function of A_γ in sense of Nagy-Foias [10], [4] coincides with that introduced by A. Straus [12, 13]. The same operator function appears when we apply to $A_\gamma, A_{\bar{\gamma}}$ operators the variant of definition of matrix Θ -function, developed by A. Kuzhel [7]. Θ -function of arbitrary maximal dissipative (accumulative) extension is reproduced in accordance with that, obtained by Kuzhel's approach in [8] for canonical differential operators.

In Sec. 4 we consider a pair $A_{\pm V}$ of self-adjoint extensions, defined by von Neumann's formulas with the help of isometries $\pm V(\gamma_0) \in [\mathfrak{N}_{\bar{\gamma}_0}, \mathfrak{N}_{\gamma_0}]$, $Im \gamma_0 > 0$. It is shown that isometries $V_\pm(\gamma) = \Phi_{W(\gamma, \gamma_0)}^*(\pm V^*(\gamma_0)) \in [\mathfrak{N}_{\bar{\gamma}}, \mathfrak{N}_\gamma]$, $Im \gamma > 0$ provide the same pair. The factors of $V_\pm(\gamma)$ build the Weyl function of A_V (A_{-V}) in definition of V. Derkach, M. Malamud [1], and we discuss the set of Weyl functions, corresponding to A_V . An analog of von Neumann formulas is derived, applied to the decomposition

$$\mathcal{D}(A_0^*) = \mathcal{D}(A_0) \dot{+} \mathfrak{N}_\gamma \dot{+} \mathfrak{N}_\zeta, \quad Im \gamma Im \zeta < 0.$$

In that the part of isometry is played by bounded invertible operator $M(\gamma, \zeta) \in [\mathfrak{N}_\zeta, \mathfrak{N}_\gamma]$ with the properties of M -function's corresponding factor. We present also one more proof of Kreĭn's resolvent formula (Kreĭn-Saakyan formula), which differs from those of [11, 1, 3], and is applicable to canonical differential operators [9]. Lastly we compute the resolvent of A_V operator's M -function, extending the corresponding formula of [9] from real axis onto the resolvent set.

2. Properties of $\Theta(\gamma, \lambda)$ operators

2.1.

Let \mathfrak{H} be a Hilbert space with an inner product $\langle \cdot, \cdot \rangle$, and let A_0 be a closed Hermitian operator in \mathfrak{H} with the domain $\mathcal{D}(A_0)$ dense in \mathfrak{H} . The complex plane C we consider with the standard subdivision $C = C^- \cup R \cup C^+$. A defect subspace of A_0 denote by $\mathfrak{N}_\gamma := Ker(A_0^* - \gamma I)$, $\gamma \in C^- \cup C^+$ and assume that $\dim \mathfrak{N}_\gamma = \dim \mathfrak{N}_\zeta \leq \infty$, $Im \gamma \cdot Im \zeta < 0$.

The direct-sum decomposition

$$\mathcal{D}(A_0^*) = \mathcal{D}(A_0) \dot{+} \mathfrak{N}_\gamma \dot{+} \mathfrak{N}_{\bar{\gamma}} \tag{2.1}$$

associates an oblique projection $\mathcal{P}_\gamma : \mathcal{D}(A_0^*) \rightarrow \mathfrak{N}_\gamma$ onto \mathfrak{N}_γ along $\mathcal{D}(A_0) \dot{+} \mathfrak{N}_{\bar{\gamma}}$ to each γ , defining projection-valued function \mathcal{P}_γ on $C^- \cup C^+$.

Denote $f_0 \in \mathcal{D}(A_0)$, $f_\gamma \in \mathfrak{N}_\gamma$ and introduce the operators

$$A_\gamma = A_0^*|_{\mathcal{D}(A_\gamma)}, \quad \mathcal{D}(A_\gamma) = \text{Ker}\mathcal{P}_{\bar{\gamma}} = \mathcal{D}(A_0) \dot{+} \mathfrak{N}_\gamma,$$

that is

$$A_\gamma f = A_0 f_0 + \gamma f_\gamma, \quad \text{if } f = f_0 + f_\gamma \in \mathcal{D}(A_\gamma). \quad (2.2)$$

Clearly, A_γ are extensions of A_0 . If $\text{Im } \gamma \cdot \text{Im } \bar{\lambda} > 0$, then operators $R(A_\gamma, \bar{\lambda}) := (A_\gamma - \bar{\lambda}I)^{-1}$ exist, $\mathcal{D}\left((A_\gamma - \bar{\lambda}I)^{-1}\right) = \text{Ran}(A_\gamma - \bar{\lambda}I) = \mathfrak{H}$, hence A_γ are maximal extensions of A_0 (see [2], Sec 12.4, [5] Sec 3.1.2). Relations (2.2) yield $\langle A_\gamma f, g \rangle = \langle f, A_{\bar{\gamma}} g \rangle$ whenever $f \in \mathcal{D}(A_\gamma)$, $g \in \mathcal{D}(A_{\bar{\gamma}})$, therefore a maximality of $A_{\bar{\gamma}}$ implies $A_\gamma^* = A_{\bar{\gamma}}$.

Hermiteness of A_0 yields

$$\text{Im} \langle A_\gamma f, f \rangle = \text{Im } \gamma \langle f_\gamma, f_\gamma \rangle = \text{Im } \gamma \langle \mathcal{P}_\gamma f, \mathcal{P}_\gamma f \rangle, \quad f \in \mathcal{D}(A_\gamma). \quad (2.3)$$

If $\gamma \in C^+$, formula (2.3) specifies that A_γ and $A_{\bar{\gamma}} = A_\gamma^*$ are maximal dissipative and accumulative extensions of Hermitian operator A_0 . Their resolvent sets $\rho(A_\gamma)$ and $\rho(A_{\bar{\gamma}})$ comprise C^- and C^+ respectively.

From (2.2) we see that $\text{Ran}(A_\gamma - \gamma I) = \text{Ran}(A_0 - \gamma I)$, $\text{Ker}(A_\gamma - \gamma I) = \mathfrak{N}_\gamma$, hence the orthogonal decomposition

$$\mathfrak{H} = \text{Ran}(A_0 - \gamma I) \oplus \text{Ker}(A_0^* - \bar{\gamma}I) \quad (2.4)$$

can be presented also in the form

$$\mathfrak{H} = \text{Ran}(A_\gamma - \gamma I) \oplus \text{Ker}(A_{\bar{\gamma}} - \bar{\gamma}I). \quad (2.5)$$

Let P_γ be the orthogonal projection in \mathfrak{H} onto \mathfrak{N}_γ . Projections \mathcal{P}_γ and P_γ can be presented explicitly by means of A_γ .

Proposition 2.1 *Projections \mathcal{P}_γ and P_γ are related by*

$$\mathcal{P}_\gamma = \frac{1}{\gamma - \bar{\gamma}} P_\gamma (A_0^* - \bar{\gamma}I). \quad (2.6)$$

Proof. For any $f = f_0 + f_\gamma + f_{\bar{\gamma}} \in \mathcal{D}(A_0^*)$ we have

$$(A_0^* - \bar{\gamma}I) f = (A_0 - \bar{\gamma}I) f_0 + (\gamma - \bar{\gamma}) f_\gamma,$$

hence from (2.4) we obtain $P_\gamma (A_0^* - \bar{\gamma}I) f = (\gamma - \bar{\gamma}) \mathcal{P}_\gamma f$, with the result.

Proposition 2.2 *The projection \mathcal{P}_γ is presentable in the form*

$$\mathcal{P}_\gamma = I - (A_{\bar{\gamma}} - \gamma I)^{-1} (A_0^* - \gamma I). \quad (2.7)$$

Proof. Consider the operator $Q_\gamma = (A_{\bar{\gamma}} - \gamma I)^{-1} (A_0^* - \gamma I)$, $\mathcal{D}(Q_\gamma) = \mathcal{D}(A_0^*)$. Clearly $\text{Ran } Q_\gamma = \text{Ran} (A_{\bar{\gamma}} - \gamma I)^{-1} = \mathcal{D}(A_{\bar{\gamma}})$, and $Q_\gamma \mathfrak{N}_\gamma = 0$. From $(A_0^* - \gamma I) (A_{\bar{\gamma}} - \gamma I)^{-1} f = f$ for arbitrary $f \in \mathcal{D}(A_{\bar{\gamma}} - \gamma I)^{-1} = \mathfrak{H}$ it follows that $Q_\gamma^2 = Q_\gamma$. Thus Q_γ is an oblique projection in $\mathcal{D}(A_0^*)$ onto $\mathcal{D}(A_{\bar{\gamma}})$ along \mathfrak{N}_γ , so $I - Q_\gamma = \mathcal{P}_\gamma$, and the proof is complete.

To obtain the presentation of P_γ first we take note that $I - P_\gamma$ is an orthogonal projection in $\mathfrak{H} = \text{Ran} (A_{\bar{\gamma}} - \bar{\gamma} I) \oplus \mathfrak{N}_\gamma$ onto $\text{Ran} (A_{\bar{\gamma}} - \bar{\gamma} I) = \text{Ran} (A_0 - \bar{\gamma} I)$. Now consider the Cayley transform

$$T_\gamma = (A_\gamma - \gamma I) (A_\gamma - \bar{\gamma} I)^{-1} = I - (\gamma - \bar{\gamma}) (A_\gamma - \bar{\gamma} I)^{-1} \in [\mathfrak{H}], \quad (2.8)$$

which is a contraction $\|T_\gamma\| \leq 1$ (see [5] Sec 3.1.2), and $T_\gamma^* = I - (\bar{\gamma} - \gamma) (A_{\bar{\gamma}} - \gamma I)^{-1} = (A_{\bar{\gamma}} - \bar{\gamma} I) (A_{\bar{\gamma}} - \gamma I)^{-1} = T_{\bar{\gamma}}$.

Proposition 2.3 *The operator T_γ is a partial isometry with initial subspace $\text{Ran} (A_{\bar{\gamma}} - \bar{\gamma} I)$ and final subspace $\text{Ran} (A_\gamma - \gamma I)$.*

Proof. We have to prove that $\text{Ker } T_\gamma = \mathfrak{N}_\gamma$ and T_γ is isometric on $\text{Ran} (A_{\bar{\gamma}} - \bar{\gamma} I) = \text{Ran} (A_0 - \bar{\gamma} I)$. Let $f_\gamma \in \mathfrak{N}_\gamma$. Then $(A_\gamma - \bar{\gamma} I)^{-1} f_\gamma = g_0 + g_\gamma \in \mathcal{D}(A_\gamma)$, so

$$(A_\gamma - \bar{\gamma} I) (g_0 + g_\gamma) = (A_0 - \bar{\gamma} I) g_0 + (\gamma - \bar{\gamma}) g_\gamma = f_\gamma,$$

hence

$$g_\gamma = \frac{1}{\gamma - \bar{\gamma}} f_\gamma; \quad (A_0 - \bar{\gamma} I) g_0 = 0, \quad g_0 = 0.$$

Thus $T_\gamma f_\gamma = (A_\gamma - \gamma I) g_\gamma = 0$ for any $f_\gamma \in \mathfrak{N}_\gamma$, and $\mathfrak{N}_\gamma \subset \text{Ker } T_\gamma$. On the other hand, for arbitrary $f_0 \in \mathcal{D}(A_0)$ one has $T_\gamma (A_0 - \bar{\gamma} I) f_0 = (A_0 - \gamma I) f_0$, hence $T_\gamma^* (A_0 - \gamma I) f_0 = (A_0 - \bar{\gamma} I) g_0$. Thus $T_\gamma^* T_\gamma f = f$ for any $f = (A_0 - \bar{\gamma} I) f_0 \in \text{Ran} (A_0 - \bar{\gamma} I)$, which completes the proof.

The self-adjoint operator $T_\gamma^* T_\gamma$, is an orthogonal projection, since evidently $(T_\gamma^* T_\gamma)^2 = T_\gamma^* T_\gamma$. Thus we have

$$T_\gamma^* T_\gamma = I - P_\gamma, \quad P_\gamma = I - T_\gamma^* T_\gamma. \quad (2.9)$$

2.2.

Let γ, λ be non-real numbers. Denote $\Theta(\gamma, \lambda) := \mathcal{P}_\gamma | \mathfrak{N}_\lambda$ and observe that from (2.6) it follows that

$$\Theta(\gamma, \lambda) = \frac{\lambda - \bar{\gamma}}{\gamma - \bar{\gamma}} P_\gamma | \mathfrak{N}_\lambda. \quad (2.10)$$

Proposition 2.4 *$\Theta(\gamma, \lambda)$ operators are presentable as*

$$\Theta(\gamma, \lambda) = (A_{\bar{\gamma}} - \lambda I) (A_{\bar{\gamma}} - \gamma I)^{-1} | \mathfrak{N}_\lambda. \quad (2.11)$$

Proof. Formula (2.7) applied to $f_\lambda \in \mathfrak{N}_\lambda$ immediately leads to the result

$$\mathcal{P}_\gamma f_\lambda = f_\lambda - (\lambda - \gamma)(A_{\bar{\gamma}} - \gamma I)^{-1} f_\lambda = [(A_{\bar{\gamma}} - \gamma I) - (\lambda - \gamma)I] (A_{\bar{\gamma}} - \gamma I)^{-1} f_\lambda.$$

If $Im \gamma \cdot Im \lambda > 0$ it now follows that $\Theta(\gamma, \lambda)$ is bounded invertible and

$$\Theta^{-1}(\gamma, \lambda) = (A_{\bar{\gamma}} - \gamma I) (A_{\bar{\gamma}} - \lambda I)^{-1} | \mathfrak{N}_\gamma. \quad (2.12)$$

Formula (2.11) also implies that, if $Im \gamma \cdot Im \lambda < 0$, then the operator $\Theta(\gamma, \lambda)$ is not invertible if and only if λ is an eigenvalue of $A_{\bar{\gamma}}$, that is, if λ is in a point spectrum $\sigma_p(A_{\bar{\gamma}})$ of $A_{\bar{\gamma}}$.

Applying decomposition (2.1) to arbitrary $f_\lambda \in \mathfrak{N}_\lambda$ we have $f_\lambda = f_0 + f_\gamma + f_{\bar{\gamma}}$, where $f_\gamma = \mathcal{P}_\gamma f_\lambda$, $f_{\bar{\gamma}} = \mathcal{P}_{\bar{\gamma}} f_\lambda$, so it holds the identity

$$f_\lambda = f_0 + \Theta(\gamma, \lambda) f_\lambda + \Theta(\bar{\gamma}, \lambda) f_\lambda. \quad (2.13)$$

In the case $Im \gamma \cdot Im \lambda > 0$ the formula above can be written in the form

$$f_\lambda = f_0 + f_\gamma + \Theta_\gamma(\lambda) f_\gamma, \quad \Theta_\gamma(\lambda) := \Theta(\bar{\gamma}, \lambda) \Theta^{-1}(\gamma, \lambda) \in [\mathfrak{N}_\gamma, \mathfrak{N}_{\bar{\gamma}}]. \quad (2.14)$$

Now we collect the main properties of $\Theta(\gamma, \lambda)$ necessary in what follows.

Proposition 2.5 *If γ, λ, ζ are arbitrary non-real numbers, then*

$$\Theta(\gamma, \zeta) = \Theta(\gamma, \lambda) \Theta(\lambda, \zeta) + \Theta(\gamma, \bar{\lambda}) \Theta(\bar{\lambda}, \zeta). \quad (2.15)$$

Proof. Without loss of generality we assume that $Im \gamma \cdot Im \lambda > 0$, since λ and $\bar{\lambda}$ appear symmetrically in the formula to be proved. In the presence of (2.13) we have

$$f_\zeta = g_0 + g_\gamma + g_{\bar{\gamma}} = h_0 + h_\lambda + h_{\bar{\lambda}},$$

where $g_\gamma = \Theta(\gamma, \zeta) f_\zeta$, $g_{\bar{\gamma}} = \Theta(\bar{\gamma}, \zeta) f_\zeta$, $h_\lambda = \Theta(\lambda, \zeta) f_\zeta$, $h_{\bar{\lambda}} = \Theta(\bar{\lambda}, \zeta) f_\zeta$. In its turn

$$h_\lambda = u_0 + u_\gamma + u_{\bar{\gamma}}, \quad h_{\bar{\lambda}} = v_0 + v_\gamma + v_{\bar{\gamma}},$$

therefore from (2.13) we get

$$g_\gamma = u_\gamma + v_\gamma = u_\gamma + \Theta(\gamma, \bar{\lambda}) \Theta^{-1}(\bar{\gamma}, \bar{\lambda}) v_{\bar{\gamma}}.$$

Since $u_\gamma = \Theta(\gamma, \lambda) h_\lambda = \Theta(\gamma, \lambda) \Theta(\lambda, \zeta) f_\zeta$, $v_{\bar{\gamma}} = \Theta(\bar{\gamma}, \bar{\lambda}) h_{\bar{\lambda}} = \Theta(\bar{\gamma}, \bar{\lambda}) \Theta(\bar{\lambda}, \zeta) f_\zeta$, the formula above leads to

$$\Theta(\gamma, \zeta) f_\zeta = g_\gamma = \Theta(\gamma, \lambda) \Theta(\lambda, \zeta) f_\zeta + \Theta(\gamma, \bar{\lambda}) \Theta^{-1}(\bar{\gamma}, \bar{\lambda}) \Theta(\bar{\gamma}, \bar{\lambda}) \Theta(\bar{\lambda}, \zeta) f_\zeta,$$

and (2.15) results.

In particular, setting in (2.15) first $\zeta = \gamma$, and then $\zeta = \bar{\gamma}$, we obtain

$$\begin{aligned} \Theta(\gamma, \lambda) \Theta(\lambda, \gamma) + \Theta(\gamma, \bar{\lambda}) \Theta(\bar{\lambda}, \gamma) &= \Theta(\gamma, \gamma) = I_\gamma \\ \Theta(\gamma, \lambda) \Theta(\lambda, \bar{\gamma}) + \Theta(\gamma, \bar{\lambda}) \Theta(\bar{\lambda}, \bar{\gamma}) &= \Theta(\gamma, \bar{\gamma}) = 0. \end{aligned} \quad (2.16)$$

The next property of $\Theta(\gamma, \lambda)$ is the following statement.

Proposition 2.6 *The operator adjoint to $\Theta(\gamma, \lambda)$ is presented by*

$$\Theta^*(\gamma, \lambda) = \frac{Im \lambda}{Im \gamma} \Theta(\lambda, \gamma). \quad (2.17)$$

Proof. First, let us recall that in the proof of Proposition 2.3 we have seen the relation $(A_\lambda - \bar{\lambda}I)^{-1} f_\lambda = (\lambda - \bar{\lambda})^{-1} f_\lambda$, hence

$$(A_\lambda - \bar{\gamma}I) (A_\lambda - \bar{\lambda}I)^{-1} |g_\lambda = \frac{\lambda - \bar{\gamma}}{\lambda - \bar{\lambda}} I_\lambda.$$

Thus from (2.10) we have

$$\begin{aligned} \langle \Theta(\gamma, \lambda) f_\lambda, g_\gamma \rangle &= \frac{\lambda - \bar{\lambda}}{\lambda - \bar{\gamma}} \langle (A_{\bar{\gamma}} - \lambda I) (A_{\bar{\gamma}} - \gamma I)^{-1} (A_\lambda - \bar{\gamma}I) (A_\lambda - \bar{\lambda}I)^{-1} f_\lambda, g_\gamma \rangle = \\ &= \frac{\lambda - \bar{\lambda}}{\lambda - \bar{\gamma}} \langle (A_\lambda - \bar{\gamma}I) (A_\lambda - \bar{\lambda}I)^{-1} f_\lambda, (A_{\bar{\gamma}} - \lambda I) (A_{\bar{\gamma}} - \gamma I)^{-1} g_\gamma \rangle = \\ &= \frac{\lambda - \bar{\lambda}}{\lambda - \bar{\gamma}} \frac{\bar{\gamma} - \lambda}{\bar{\gamma} - \gamma} \langle (A_\lambda - \bar{\gamma}I) (A_\lambda - \bar{\lambda}I)^{-1} f_\lambda, g_\gamma \rangle = \frac{Im \lambda}{Im \gamma} \langle f_\lambda, (A_{\bar{\lambda}} - \gamma I) (A_{\bar{\lambda}} - \lambda I)^{-1} g_\gamma \rangle, \end{aligned}$$

and formula (2.17) is proved.

Now assume $Im \gamma \cdot Im \lambda > 0$ and consider the function $\Theta_\gamma(\lambda) = \Theta(\bar{\gamma}, \lambda) \Theta^{-1}(\gamma, \lambda)$, appeared in (2.14). Clearly $\Theta_\gamma(\gamma) = 0$. Combining Proposition 2.5 and Proposition 2.6 we complete the list of necessary facts on $\Theta(\gamma, \lambda)$ operators.

Proposition 2.7 *The operator $\Theta_\gamma(\lambda)$ is a strict contraction $\|\Theta_\gamma(\lambda)\| < 1$, and it holds that $\Theta_\gamma^*(\lambda) = \Theta_{\bar{\gamma}}(\bar{\lambda})$.*

Proof. Rewrite identities (2.17) in the form

$$\Theta(\lambda, \gamma) \Theta(\gamma, \lambda) + \Theta(\lambda, \bar{\gamma}) \Theta(\bar{\gamma}, \lambda) = I_\lambda; \quad \Theta(\bar{\lambda}, \bar{\gamma}) \Theta(\bar{\gamma}, \lambda) + \Theta(\bar{\lambda}, \gamma) \Theta(\gamma, \lambda) = 0.$$

In view of (2.17) we obtain

$$\Theta^*(\gamma, \lambda) \Theta(\gamma, \lambda) - \Theta^*(\bar{\gamma}, \lambda) \Theta(\bar{\gamma}, \lambda) = \frac{Im \lambda}{Im \gamma} I_\lambda; \quad \Theta^*(\bar{\gamma}, \bar{\lambda}) \Theta(\bar{\gamma}, \lambda) - \Theta^*(\gamma, \bar{\lambda}) \Theta(\gamma, \lambda) = 0.$$

The first identity above can be presented as

$$I_\gamma - \Theta_\gamma^*(\lambda) \Theta_\gamma(\lambda) = \frac{Im \lambda}{Im \gamma} [\Theta(\gamma, \lambda) \Theta^*(\gamma, \lambda)]^{-1} > 0,$$

and the second is

$$\Theta_\gamma(\lambda) = \Theta(\bar{\gamma}, \lambda) \Theta^{-1}(\gamma, \lambda) = \Theta^{-*}(\bar{\gamma}, \bar{\lambda}) \Theta^*(\gamma, \bar{\lambda}) = [\Theta(\gamma, \bar{\lambda}) \Theta^{-1}(\bar{\gamma}, \bar{\lambda})]^* = \Theta_\gamma^*(\bar{\lambda}).$$

The proof is complete.

2.3.

Identities (2.17) suggest the following construction. Let γ, λ be arbitrary in C^+ . Introduce the Hilbert space $\mathcal{N}_{(\gamma)}$ of pairs $\mathbf{f}_{(\gamma)} = (f_\gamma, f_{\bar{\gamma}})$, $f_\gamma \in \mathfrak{N}_\gamma$, $f_{\bar{\gamma}} \in \mathfrak{N}_{\bar{\gamma}}$ with the inner product $\langle \mathbf{f}_{(\gamma)}, \mathbf{g}_{(\gamma)} \rangle = \langle f_\gamma, g_\gamma \rangle + \langle f_{\bar{\gamma}}, g_{\bar{\gamma}} \rangle$. Analogously is defined $\mathcal{N}_{(\lambda)}$. Consider the operator

$$W(\gamma, \lambda) = \begin{bmatrix} \Theta(\gamma, \lambda) & \Theta(\gamma, \bar{\lambda}) \\ \Theta(\bar{\gamma}, \lambda) & \Theta(\bar{\gamma}, \bar{\lambda}) \end{bmatrix} \in [\mathcal{N}_{(\lambda)}, \mathcal{N}_{(\gamma)}]. \quad (2.18)$$

Relations (2.16) imply that

$$W^{-1}(\gamma, \lambda) = \begin{bmatrix} \Theta(\lambda, \gamma) & \Theta(\lambda, \bar{\gamma}) \\ \Theta(\bar{\lambda}, \gamma) & \Theta(\bar{\lambda}, \bar{\gamma}) \end{bmatrix} = W(\lambda, \gamma).$$

If

$$\mathcal{J}_\gamma = \begin{bmatrix} I_\gamma & 0 \\ 0 & -I_\gamma \end{bmatrix} \in [\mathcal{N}_\gamma], \quad \mathcal{J}_\gamma^2 = I_{(\gamma)}, \quad \mathcal{J}_\gamma^* = \mathcal{J}_\gamma,$$

then from (2.17) it follows that

$$W^*(\gamma, \lambda) = \frac{Im \lambda}{Im \gamma} \begin{bmatrix} \Theta(\lambda, \gamma) & -\Theta(\lambda, \bar{\gamma}) \\ -\Theta(\bar{\lambda}, \gamma) & \Theta(\bar{\lambda}, \bar{\gamma}) \end{bmatrix} = \alpha \mathcal{J}_\lambda W(\lambda, \gamma) \mathcal{J}_\gamma, \quad \alpha = \frac{Im \lambda}{Im \gamma} > 0.$$

The last formula can be presented as

$$\tilde{W}^*(\gamma, \lambda) \mathcal{J}_\gamma \tilde{W}(\gamma, \lambda) = \mathcal{J}_\lambda, \quad \text{where} \quad \tilde{W}(\gamma, \lambda) = \alpha^{-\frac{1}{2}} W(\gamma, \lambda),$$

so $W(\gamma, \lambda)$ is collinear to $(\mathcal{J}_\lambda, \mathcal{J}_\gamma)$ – unitary operator $\tilde{W}(\gamma, \lambda)$.

With a \mathcal{J} -unitary operator W acting in Kreĭn space \mathcal{H} associates the Kreĭn-Shmulyan linear fractional transformation $\Phi_W(\cdot)$, which has an inter-spherical property (see [6]).

The main statements of referred above remain valid also for the case under consideration. Namely, let $K_\lambda \in [\mathfrak{N}_\lambda, \mathfrak{N}_{\bar{\lambda}}]$. Denote

$$\Phi_{\bar{\gamma}}(K_\lambda) = \Theta(\bar{\gamma}, \lambda) + \Theta(\bar{\gamma}, \bar{\lambda}) K_\lambda, \quad \Phi_\gamma(K_\lambda) = \Theta(\gamma, \lambda) + \Theta(\gamma, \bar{\lambda}) K_\lambda. \quad (2.19)$$

If $\Phi_\gamma(K_\lambda)$ is bounded invertible, the Kreĭn-Shmulyan transformation is

$$\Phi_{W(\gamma, \lambda)}(K_\lambda) = \Phi_{\bar{\gamma}}(K_\lambda) \Phi_\gamma^{-1}(K_\lambda). \quad (2.20)$$

Apparently $\Phi_{\tilde{W}(\gamma, \lambda)}(K_\lambda) = \Phi_{W(\gamma, \lambda)}(K_\lambda)$.

The inter-spherical property of $W(\gamma, \lambda)$ is characterized as follows.

Proposition 2.8 *Let $W(\gamma, \lambda)$ be given as in (2.18). If $\|K_\lambda\| \leq 1$, then $K_\gamma = \Phi_{W(\gamma, \lambda)}(K_\lambda)$ is well defined, $\|K_\gamma\| \leq 1$, and K_λ, K_γ are isometries simultaneously.*

Proof. The second identity of (2.16) yields

$$\Theta^{-1}(\gamma, \lambda)\Theta(\gamma, \bar{\lambda}) = -\Theta(\lambda, \bar{\gamma})\Theta^{-1}(\bar{\lambda}, \bar{\gamma}) = -\Theta_{\bar{\lambda}}(\bar{\gamma}),$$

hence

$$\Phi_{\gamma}(K_{\lambda}) = \Theta(\gamma, \lambda) [I_{\lambda} + \Theta^{-1}(\gamma, \lambda)\Theta(\gamma, I)K_{\lambda}] = \Theta(\gamma, \lambda) [I_{\lambda} - \Theta_{\bar{\lambda}}(\bar{\gamma})K_{\lambda}].$$

If $\|K_{\lambda}\| \leq 1$, then from Proposition 2.7 we have $\|\Theta_{\bar{\lambda}}(\bar{\gamma})K_{\lambda}\| < 1$, which proves bounded invertibility of $\Phi_{\gamma}(K_{\lambda})$.

Now consider

$$I_{\gamma} - K_{\gamma}^*K_{\gamma} = \Phi_{\gamma}^{-*}(K_{\lambda}) [\Phi_{\gamma}^*(K_{\lambda})\Phi_{\gamma}(K_{\lambda}) - \Phi_{\bar{\gamma}}^*(K_{\lambda})\Phi_{\bar{\gamma}}(K_{\lambda})] \Phi_{\gamma}^{-1}(K_{\lambda}).$$

Again making use (2.16), (2.17), by straightforward computations one can verify that

$$I_{\gamma} - K_{\gamma}^*K_{\gamma} = \frac{Im \lambda}{Im \gamma} \Phi_{\gamma}^{-*}(K_{\lambda}) [I_{\lambda} - K_{\lambda}^*K_{\lambda}] \Phi_{\gamma}^{-1}(K_{\lambda}),$$

which completes the proof.

3. Characteristic functions of maximal extensions

3.1.

Here we review and unify some fundamentals on characteristic functions, taken from [4, 7, 10, 12, 13].

First we turn to Θ -function in sense of Nagy-Foias and recall a few basic notions and facts from [10] (Sec 1.3, 6.1).

Let T be a contraction in a Hilbert space \mathcal{H} . Defect operators of T are $D_T = (I - T^*T)^{\frac{1}{2}}$, $D_{T^*} = (I - TT^*)^{\frac{1}{2}}$; defect subspaces of T are $\mathfrak{D}_T = \overline{D_T\mathcal{H}}$, $\mathfrak{D}_{T^*} = \overline{D_{T^*}\mathcal{H}}$. The following relations are valid:

$$TD_T = D_{T^*}T, \quad T^*D_{T^*} = D_T T^*. \quad (3.1)$$

The Nagy-Foias characteristic function of T is an operator-valued function defined by

$$\Theta_T(\omega) = \left[-T + \sum_{n=1}^{\infty} \omega^n D_{T^*} T^{*n-1} D_T \right] |_{\mathfrak{D}_T}, \quad |\omega| < 1, \quad (3.2)$$

hence is analytical in the unit disk $|\omega| < 1$. A left-hand multiplication of both sides of (3.2) by D_{T^*} and use of (3.1) leads to the equivalent definition

$$D_{T^*}\Theta_T(\omega) = (\omega I - T)(I - \omega T^*)^{-1} |_{\mathfrak{D}_T}.$$

Values of $\Theta_T(\omega)$ are in $[\mathfrak{D}_T, \mathfrak{D}_{T^*}]$ and $\|\Theta_T(0)h\| < \|h\|$, $h \in \mathfrak{D}_T$.

Θ -function of dissipative operator $B =: (I + T)(I - T)^{-1}$ is defined by the relation $\Theta_B(\lambda) := \Theta_T \left(\frac{\lambda - i}{\lambda + i} \right)$, $\lambda \in C^+$ (see [4] Sec 28.12).

From now on we attach $\gamma, \lambda \in C^+$, $\zeta \in C^-$.

Let A_γ be the maximal dissipative operator given in (2.2).

Proposition 3.1 *If $T_\gamma = (A_\gamma - \gamma I)(A_\gamma - \bar{\gamma} I)^{-1}$, then*

$$\Theta_{T_\gamma}(\omega) = \omega P_{\bar{\gamma}} (I - \omega T_\gamma^*)^{-1} |\mathfrak{N}_\gamma = (\omega I - T_\gamma) (I - \omega T_\gamma^*)^{-1} |\mathfrak{N}_\gamma, \quad (3.3)$$

and $\Theta_{T_\gamma}(\omega)$ admits the estimate

$$\|\Theta_{T_\gamma}(\omega)\| \leq |\omega|, \quad |\omega| < 1. \quad (3.4)$$

Proof. Referring back to Proposition 2.3 we see, that defect operators and defect subspaces of partially isometric operator T_γ are orthogonal projections $P_\gamma, P_{\bar{\gamma}}$ on subspaces $\mathfrak{N}_\gamma, \mathfrak{N}_{\bar{\gamma}}$ respectively. Moreover, formula (3.1) now takes the form

$$T_\gamma P_\gamma = P_{\bar{\gamma}} T_\gamma = 0, \quad T_\gamma^* P_{\bar{\gamma}} = P_\gamma T_\gamma^* = 0,$$

hence definition (3.2) for the operator T_γ appears as

$$\Theta_{T_\gamma}(\omega) = \omega P_{\bar{\gamma}} \sum_{n=0}^{\infty} \omega^n T_\gamma^{*n} P_\gamma = \omega P_{\bar{\gamma}} (1 - \omega T_\gamma^*)^{-1} P_\gamma. \quad (3.5)$$

Since $P_{\bar{\gamma}}^2 = P_{\bar{\gamma}} = I - T_\gamma T_\gamma^*$, the last formula can be transformed to

$$\begin{aligned} \Theta_{T_\gamma}(\omega) &= \omega P_{\bar{\gamma}} (I - T_\gamma T_\gamma^*) \sum_{n=1}^{\infty} \omega^n T_\gamma^{*n} P_\gamma = P_{\bar{\gamma}} \left[\omega (I - \omega T_\gamma^*)^{-1} - T_\gamma \sum_{n=1}^{\infty} \omega^n T_\gamma^{*n} \right] P_\gamma = \\ &= -P_{\bar{\gamma}} \left\{ \omega (I - \omega T_\gamma^*)^{-1} - T_\gamma \left[(I - \omega T_\gamma^*)^{-1} - I \right] \right\} P_\gamma = P_{\bar{\gamma}} (\omega I - T_\gamma) (I - \omega T_\gamma^*)^{-1} P_\gamma, \end{aligned} \quad (3.6)$$

again by virtue of $T_\gamma P_\gamma = 0$.

Analogously, because of $P_\gamma^2 = P_\gamma = I - T_\gamma^* T_\gamma$ and $T_\gamma^* P_{\bar{\gamma}} = 0$, we obtain

$$\Theta_{T_\gamma}(\omega) = \omega P_{\bar{\gamma}} \sum_{n=0}^{\infty} \omega^n T_\gamma^{*n} (I - T_\gamma^* T_\gamma) P_\gamma = P_{\bar{\gamma}} (I - \omega T_\gamma^*)^{-1} (\omega I - T_\gamma) P_\gamma. \quad (3.7)$$

From (3.5) we have $\Theta_{T_\gamma}(0) = 0$, hence $\Theta_{T_\gamma}(\lambda)$, analytical in $|\omega| < 1$, meets conditions of Shwartz lemma, which leads to (3.4). The proof is complete.

Proposition 3.2 *Characteristic function of maximal dissipative operator A_γ is analytical in C^+ operator function, given by the formula*

$$\begin{aligned} \Theta_{A_\gamma}(\lambda) &= - (A_\gamma - \lambda I) (A_\gamma - \bar{\gamma} I)^{-1} (A_{\bar{\gamma}} - \gamma I) (A_{\bar{\gamma}} - \lambda I)^{-1} |\mathfrak{N}_\gamma = \\ &= - (A_{\bar{\gamma}} - \gamma I) (A_{\bar{\gamma}} - \lambda I)^{-1} (A_\gamma - \lambda I) (A_\gamma - \bar{\gamma} I)^{-1} |\mathfrak{N}_\gamma, \end{aligned} \quad (3.8)$$

and satisfying the estimate

$$\|\Theta_{A_\gamma}(\lambda)\| \leq \left| \frac{\lambda - \gamma}{\lambda - \bar{\gamma}} \right|, \quad \lambda \in C^+. \quad (3.9)$$

Proof. The first factor of (3.3) can be transformed as follows:

$$\begin{aligned} \omega I - T_\gamma &= \omega I - (A_\gamma - \gamma I)(A_\gamma - \bar{\gamma}I)^{-1} = [\omega(A_\gamma - \bar{\gamma}I) - (A_\gamma - \gamma I)](A_\gamma - \bar{\gamma}I)^{-1} = \\ &= [(\omega - 1)A_\gamma + (\gamma - \omega\bar{\gamma})I](A_\gamma - \bar{\gamma}I)^{-1} = (1 - \omega) \left(A_\gamma - \frac{\gamma - \omega\bar{\gamma}}{1 - \omega} I \right) (A_\gamma - \bar{\gamma}I)^{-1}. \end{aligned}$$

Similarly, the second factor is

$$I - \omega T_\gamma^* = [(1 - \omega)A_{\bar{\gamma}} - (\gamma - \omega\bar{\gamma})I](A_{\bar{\gamma}} - \gamma I)^{-1} = (1 - \omega) \left(A_{\bar{\gamma}} - \frac{\gamma - \omega\bar{\gamma}}{1 - \omega} I \right) (A_{\bar{\gamma}} - \gamma I)^{-1}.$$

Linear fractional transformation $\lambda = \frac{\gamma - \omega\bar{\gamma}}{1 - \omega}$ maps the unit disk $|\omega| < 1$ onto the half-plane C^+ , hence formula (3.3) can be rewritten as

$$\Theta_{T_\gamma} \left(\frac{\lambda - \gamma}{\lambda - \bar{\gamma}} \right) = \Theta_{A_\gamma}(\lambda) = -(A_\gamma - \lambda I)(A_\gamma - \bar{\gamma}I)^{-1}(A_{\bar{\gamma}} - \gamma I)(A_{\bar{\gamma}} - \lambda I)^{-1} P_\gamma.$$

The next equality of (3.8) follows analogously from (3.7).

Inequality (3.4) now takes the form (3.9), completing the proof.

A comparison of (3.8) with (2.11), (2.12) brings the relation

$$\Theta_{A_\gamma}(\lambda) = -\Theta(\bar{\gamma}, \lambda) \Theta^{-1}(\gamma, \lambda) = -\Theta_\gamma(\lambda). \quad (3.10)$$

The case of maximal accumulative extension $A_{\bar{\gamma}} = A_\gamma^*$ is treated analogously, and corresponding formulas are

$$\Theta_{A_{\bar{\gamma}}}(\zeta) = -\Theta(\gamma, \zeta) \Theta^{-1}(\gamma, \bar{\zeta}) = -\Theta_{\bar{\gamma}}(\zeta); \quad \|\Theta_{A_{\bar{\gamma}}}(\zeta)\| \leq \left| \frac{\zeta - \bar{\gamma}}{\zeta - \gamma} \right|.$$

From Proposition 2.7 it follows that $\Theta_{A_\gamma}^*(\lambda) = \Theta_{A_{\bar{\gamma}}}(\bar{\lambda})$.

Another approach to Θ -functions is due to A. V. Straus [12, 13]. In [13] was introduced characteristic function of Hermitian operator A_0 as a contractive operator function

$$\tilde{\Theta}_\gamma(\lambda) = (A_\lambda - \gamma I)(A_\lambda - \bar{\gamma}I)^{-1} | \mathfrak{N}_\gamma \in [\mathfrak{N}_\gamma, \mathfrak{N}_{\bar{\gamma}}],$$

where $\gamma \in C^+$ is fixed, λ varies on C^+ . The operator $\tilde{\Theta}_\gamma(\lambda)$ possesses the property:

$$\text{if } f_\lambda = f_0 + f_\gamma - \tilde{\Theta}_\gamma(\lambda)f_\gamma \text{ varies on } \mathfrak{N}_\lambda, \text{ then } f_\gamma \text{ ranges over the whole } \mathfrak{N}_\gamma. \quad (3.11)$$

We wish to prove that the same property holds for the case of decomposition (2.14). In view of (2.13) it is suffice to show that for arbitrary f_γ there exist f_λ such that $f_\gamma = \Theta(\gamma, \lambda)f_\lambda$.

Choose $g_\lambda = \Theta(\lambda, \gamma)f_\gamma$, so $f_\gamma = \Theta^{-1}(\lambda, \gamma)g_\lambda$. Rearranging γ and λ in (2.16) it is readily seen that $\Theta(\gamma, \lambda) = \Theta^{-1}(\lambda, \gamma) [I_\lambda - \Theta(\lambda, \bar{\gamma})\Theta(\bar{\gamma}, \lambda)]$, hence $f_\lambda = [I_\lambda - \Theta(\lambda, \bar{\gamma})\Theta(\bar{\gamma}, \lambda)]^{-1} g_\lambda$ is desirable vector.

Comparing (3.11) with (2.14) and noting uniqueness of decomposition $f_\lambda = f_0 + f_\gamma + f_{\bar{\gamma}}$ we conclude that

$$\tilde{\Theta}_\gamma(\lambda) = -\Theta_\gamma(\lambda) = \Theta_{A_\gamma}(\lambda). \quad (3.12)$$

In [12] was presented Θ -function of A_γ by the following manner.

Let $S_\gamma(\lambda) = (A_{\bar{\gamma}} - \lambda I)^{-1} (A_\gamma - \lambda I)$, and let $\tilde{\mathcal{P}}_\gamma, \tilde{\mathcal{P}}_{\bar{\gamma}}$ be oblique projections in $\mathcal{D}(A_\gamma), \mathcal{D}(A_{\bar{\gamma}})$ onto $\mathfrak{N}_\gamma, \mathfrak{N}_{\bar{\gamma}}$ respectively. Then Θ -function $\tilde{\Theta}_{A_\gamma}(\lambda)$ is defined by the formula

$$\tilde{\Theta}_{A_\gamma}(\lambda)\tilde{\mathcal{P}}_\gamma f = \tilde{\mathcal{P}}_{\bar{\gamma}}S_\gamma(\lambda)f, \quad f \in \mathcal{D}(A_\gamma). \quad (3.13)$$

In [13] was noted that $\tilde{\Theta}_{A_\gamma}(\lambda) = \tilde{\Theta}_\gamma(\lambda)$, but no proof presented. To show it let us observe that

$$\tilde{\mathcal{P}}_\gamma f = \frac{1}{\gamma - \bar{\gamma}} P_\gamma (A_\gamma - \bar{\gamma}I) f.$$

Indeed, it is clear that $\tilde{\mathcal{P}}_\gamma^2 = \tilde{\mathcal{P}}_\gamma$. Applying (2.6) to $f \in \mathcal{D}(A_\gamma)$ we get

$$\mathcal{P}_\gamma f = \frac{1}{\gamma - \bar{\gamma}} P_\gamma (A_\gamma^* - \bar{\gamma}I) f = \frac{1}{\gamma - \bar{\gamma}} P_\gamma (A_\gamma - \bar{\gamma}I) f = \tilde{\mathcal{P}}_\gamma f.$$

Similarly $\tilde{\mathcal{P}}_{\bar{\gamma}}g = -\frac{1}{\gamma - \bar{\gamma}} (A_{\bar{\gamma}} - \gamma I) g, g \in \mathcal{D}(A_{\bar{\gamma}})$, hence (3.13) takes the form

$$\tilde{\Theta}_{A_\gamma}(\lambda) = - (A_{\bar{\gamma}} - \gamma I) S_\gamma(\lambda) (A_\gamma - \bar{\gamma}I)^{-1} | \mathfrak{N}_\gamma,$$

which is the right hand of (3.8).

Lastly, we discuss Θ -functions of A_γ and $A_{\bar{\gamma}}$ in definition, which is due to A. Kuzhel [7]. We shall extend to the case under consideration the method, introduced in [7] (Sec 2.1, 2.3) for the case of finite deficiency index (n, n) .

Proposition 3.3 *Let $f_\lambda \in \mathfrak{N}_\lambda$ and $f_\zeta \in \mathfrak{N}_\zeta$ be arbitrary, and denote*

$$S(\bar{\lambda}, \bar{\zeta}) = \Theta(\bar{\lambda}, \gamma) \Theta^{-1}(\bar{\zeta}, \gamma), \quad S(\bar{\zeta}, \bar{\lambda}) = \Theta(\bar{\zeta}, \bar{\gamma}) \Theta^{-1}(\bar{\lambda}, \bar{\gamma}), \quad \gamma \in C^+. \quad (3.14)$$

Then there exist $f_{\bar{\zeta}} \in \mathfrak{N}_{\bar{\zeta}}$ and $f_{\bar{\lambda}} \in \mathfrak{N}_{\bar{\lambda}}$ such that

$$f_\lambda + S(\bar{\lambda}, \bar{\zeta}) f_{\bar{\zeta}} \in \mathcal{D}(A_\gamma); \quad f_\zeta + S(\bar{\zeta}, \bar{\lambda}) f_{\bar{\lambda}} \in \mathcal{D}(A_{\bar{\gamma}}). \quad (3.15)$$

Proof. First, let us recall that formula (2.14) provides one-to-one correspondence between $f_\lambda \in \mathfrak{N}_\lambda$ and $f_\gamma \in \mathfrak{N}_\gamma$. The same is valid for $f_\zeta \in \mathfrak{N}_\zeta$ and $f_{\bar{\gamma}} \in \mathfrak{N}_{\bar{\gamma}}$.

Let $f_\lambda \in \mathfrak{N}_\lambda$ and $f_\gamma = f_0 + f_\lambda + f_{\bar{\lambda}} = g_0 + g_\zeta + g_{\bar{\zeta}}$. If

$$g_\zeta = u_0 + u_\lambda + u_{\bar{\lambda}}, \quad g_{\bar{\zeta}} = v_0 + v_\lambda + v_{\bar{\lambda}}$$

then $f_{\bar{\lambda}} = u_{\bar{\lambda}} + v_{\bar{\lambda}}$. Clearly $u_{\bar{\lambda}} = \Theta(\bar{\lambda}, \zeta) g_{\zeta}$, $v_{\bar{\lambda}} = \Theta(\bar{\lambda}, \bar{\zeta}) g_{\bar{\zeta}}$. From (2.14) it follows that $g_{\bar{\zeta}} = \Theta_{\bar{\zeta}}(\gamma) g_{\zeta}$, hence, taking into account (2.15), we get

$$f_{\bar{\lambda}} = [\Theta(\bar{\lambda}, \bar{\zeta}) + \Theta(\bar{\lambda}, \zeta) \Theta(\zeta, \gamma) \Theta^{-1}(\bar{\zeta}, \gamma)] g_{\zeta} = \Theta(\bar{\lambda}, \gamma) \Theta^{-1}(\bar{\zeta}, \gamma) f_{\bar{\zeta}}.$$

Thus we obtain

$$f_{\gamma} - f_0 = f_{\lambda} + S(\bar{\lambda}, \bar{\zeta}) g_{\bar{\zeta}} \in \mathcal{D}(A_{\gamma}).$$

Analogously, if $f_{\zeta} \in \mathfrak{N}_{\zeta}$ and $f_{\bar{\gamma}} = f_0 + f_{\zeta} + f_{\bar{\zeta}} = g_0 + g_{\bar{\lambda}} + g_{\lambda}$, then

$$f_{\bar{\gamma}} - f_0 = f_{\zeta} + \Theta(\bar{\zeta}, \bar{\gamma}) \Theta^{-1}(\bar{\lambda}, \bar{\gamma}) g_{\bar{\lambda}} \in \mathcal{D}(A_{\bar{\gamma}}),$$

completing the proof.

In the presence of relations (3.15), Θ -functions of A_{γ} and $A_{\bar{\gamma}}$ appeared in [7] simultaneously as a factors of the product $S(\bar{\lambda}, \bar{\zeta}) S(\bar{\zeta}, \bar{\lambda})$. From (3.14) we have

$$\begin{aligned} S(\bar{\lambda}, \bar{\zeta}) S(\bar{\zeta}, \bar{\lambda}) &= \Theta(\bar{\lambda}, \gamma) \Theta^{-1}(\bar{\zeta}, \gamma) \Theta(\bar{\zeta}, \bar{\gamma}) \Theta^{-1}(\bar{\lambda}, \bar{\gamma}) = \\ &= \Theta(\bar{\lambda}, \bar{\gamma}) \Theta^{-1}(\bar{\lambda}, \bar{\gamma}) \Theta(\bar{\lambda}, \gamma) \Theta^{-1}(\bar{\zeta}, \gamma) \Theta(\bar{\zeta}, \bar{\gamma}) \Theta^{-1}(\bar{\lambda}, \bar{\gamma}). \end{aligned}$$

Since (2.16) and (2.14) imply that

$$\begin{aligned} \Theta^{-1}(\bar{\lambda}, \bar{\gamma}) \Theta(\bar{\lambda}, \gamma) &= -\Theta(\bar{\gamma}, \lambda) \Theta^{-1}(\gamma, \lambda) = -\Theta_{\gamma}(\lambda), \\ \Theta^{-1}(\bar{\zeta}, \gamma) \Theta(\bar{\zeta}, \bar{\gamma}) &= -\Theta(\gamma, \zeta) \Theta^{-1}(\bar{\gamma}, \zeta) = -\Theta_{\bar{\gamma}}(\zeta), \end{aligned}$$

hence we obtain

$$S(\bar{\lambda}, \bar{\zeta}) S(\bar{\zeta}, \bar{\lambda}) = \Theta(\bar{\lambda}, \bar{\gamma}) \Theta_{\gamma}(\lambda) \Theta_{\bar{\gamma}}(\zeta) \Theta^{-1}(\bar{\lambda}, \bar{\gamma}).$$

The formula obtained coincides with that in [7] up to the order of multiplication by invertible function $\Theta(\bar{\lambda}, \bar{\gamma})$.

3.2.

Θ -function of arbitrary maximal dissipative (accumulative) extension of symmetric canonical differential operator was introduced in [8] by the Kuzhel's approach. Here we present the same formulas for arbitrary maximal dissipative extension of A_0 , and for its adjoint.

Let some $\gamma \in C^+$ be fixed and $K_{\gamma} \in [\mathfrak{N}_{\bar{\gamma}}, \mathfrak{N}_{\gamma}]$ be a contraction. Consider extensions of A_0 , defined by

$$A_{K_{\gamma}} = A_0^* | Ker(\mathcal{P}_{\bar{\gamma}} - K_{\gamma}^* \mathcal{P}_{\gamma}); \quad A_{K_{\gamma}^*} = A_0^* | Ker(\mathcal{P}_{\gamma} - K_{\gamma} \mathcal{P}_{\bar{\gamma}}). \quad (3.16)$$

According to [5] (Sec. 3.4) formula (3.16) establishes one-to-one correspondence between the set $\{K_{\gamma} \in [\mathfrak{N}_{\bar{\gamma}}, \mathfrak{N}_{\gamma}]\}$ of contractions and the set $\{A_{K_{\gamma}}\}$ ($\{A_{K_{\gamma}^*} = A_{K_{\gamma}}^*\}$) of maximal dissipative (accumulative) extensions of A_0 .

Now consider the operator functions

$$(\mathcal{P}_\gamma - K_\gamma \mathcal{P}_{\bar{\gamma}}) | \mathfrak{N}_\lambda = \Theta(\gamma, \lambda) - K_\gamma \Theta(\bar{\gamma}, \lambda), \quad (\mathcal{P}_{\bar{\gamma}} - K_\gamma^* \mathcal{P}_\gamma) | \mathfrak{N}_\lambda = \Theta(\bar{\gamma}, \lambda) - K_\gamma^* \Theta(\gamma, \lambda);$$

$$(\mathcal{P}_{\bar{\gamma}} - K_\gamma^* \mathcal{P}_\gamma) | \mathfrak{N}_\zeta = \Theta(\bar{\gamma}, \zeta) - K_\gamma^* \Theta(\gamma, \zeta), \quad (\mathcal{P}_\gamma - K_\gamma \mathcal{P}_{\bar{\gamma}}) | \mathfrak{N}_\zeta = \Theta(\gamma, \zeta) - K_\gamma \Theta(\bar{\gamma}, \zeta).$$

Proposition 2.8 enables to introduce analytical in C^+ , C^- operator functions

$$\begin{aligned} \Theta_{K_\gamma}(\lambda) &= [\Theta(\bar{\gamma}, \lambda) - K_\gamma^* \Theta(\gamma, \lambda)] [\Theta(\gamma, \lambda) - K_\gamma \Theta(\bar{\gamma}, \lambda)]^{-1} = \\ &= [\Theta_\gamma(\lambda) - K_\gamma^*] [I_\gamma - K_\gamma \Theta_\gamma(\lambda)]^{-1}, \\ \Theta_{K_\gamma^*}(\zeta) &= [\Theta(\gamma, \zeta) - K_\gamma \Theta(\bar{\gamma}, \zeta)] [\Theta(\bar{\gamma}, \zeta) - K_\gamma^* \Theta(\gamma, \zeta)]^{-1} = \\ &= [\Theta_{\bar{\gamma}}(\zeta) - K_\gamma] [I_{\bar{\gamma}} - K_\gamma^* \Theta_{\bar{\gamma}}(\zeta)]^{-1}, \end{aligned} \tag{3.17}$$

as Θ -functions of A_{K_γ} and $A_{K_\gamma^*}$ respectively.

Proposition 3.4 *The operator $\Theta_{K_\gamma}(\lambda_0)$ is not invertible if and only if $\lambda_0 \in \sigma_p(A_{K_\gamma})$.*

Proof. Let $f_{\lambda_0} \in \mathfrak{N}_{\lambda_0}$ and $[\Theta(\bar{\gamma}, \lambda_0) - K_\gamma^* \Theta(\gamma, \lambda_0)] f_{\lambda_0} = 0$. Then $(\mathcal{P}_{\bar{\gamma}} - K_\gamma^* \mathcal{P}_\gamma) f_{\lambda_0} = 0$, hence $f_{\lambda_0} \in \mathcal{D}(A_{K_\gamma})$ and $A_{K_\gamma} f_{\lambda_0} = A_0^* f_{\lambda_0} = \lambda_0 f_{\lambda_0}$.

If $f \in \mathcal{D}(A_{K_\gamma})$ and $A_{K_\gamma} f = \lambda_0 f$, then $f \in \mathfrak{N}_{\lambda_0}$, since $A_{K_\gamma} f = A_0^* f$. Therefore $(\mathcal{P}_{\bar{\gamma}} - K_\gamma^* \mathcal{P}_\gamma) f = [\Theta(\bar{\gamma}, \lambda_0) - K_\gamma^* \Theta(\gamma, \lambda_0)] f = 0$, and the proof is complete.

The analogous assertion is true for Θ -function of $A_{K_\gamma^*}$.

Clearly, if $K_\gamma = 0$ we have the case of extension A_γ . From Proposition 3.2 we know that Θ -function of A_γ satisfies the condition $\Theta_\gamma(\gamma) = 0$. Now we can state that such a condition is distinctive for maximal dissipative extension to be extension A_γ . Indeed, from (3.17) it follows that $\Theta_{K_\gamma}(\gamma) = -K_\gamma^*$, hence the condition $\Theta_{K_\gamma}(\gamma) = 0$ means that $A_{K_\gamma} = A_\gamma$. Moreover, all the extensions of type (2.2) can be described in terms of Θ -function (3.17).

Proposition 3.5 *Let $\varphi \neq \gamma$ be arbitrary in C^+ and $K_\gamma = \Theta_\gamma^*(\varphi)$ so that $\Theta_{\Theta_\gamma^*(\varphi)}(\varphi) = 0$.*

Then

$$\Theta(\bar{\varphi}, \bar{\gamma}) \Theta_{\Theta_\gamma^*(\varphi)}(\lambda) = \Theta_\varphi(\lambda) \Theta(\varphi, \gamma).$$

Proof. From (3.17) we have

$$\Theta_{\Theta_\gamma^*(\varphi)}(\lambda) = [\Theta(\bar{\gamma}, \lambda) - \Theta_\gamma(\varphi) \Theta(\gamma, \lambda)] [\Theta(\gamma, \lambda) - \Theta_\gamma^*(\varphi) \Theta(\bar{\gamma}, \lambda)]^{-1}. \tag{3.18}$$

We know that $\Theta_\gamma(\varphi) = \Theta_{\bar{\gamma}}^*(\bar{\varphi}) = \Theta^{-*}(\bar{\gamma}, \bar{\varphi}) \Theta^*(\gamma, \bar{\varphi})$, hence the first factor of (3.18) is

$$\Theta(\bar{\gamma}, \lambda) - \Theta^{-*}(\bar{\gamma}, \bar{\varphi}) \Theta^*(\gamma, \bar{\varphi}) \Theta(\gamma, \lambda) = \Theta^{-*}(\bar{\gamma}, \bar{\varphi}) [\Theta^*(\bar{\gamma}, \bar{\varphi}) \Theta(\bar{\gamma}, \lambda) - \Theta^*(\gamma, \bar{\varphi}) \Theta(\gamma, \lambda)].$$

From (2.17) and (2.15) it then follows that square bracket above is

$$\frac{Im \bar{\varphi}}{Im \bar{\gamma}} \Theta(\bar{\varphi}, \bar{\gamma}) \Theta(\bar{\gamma}, \lambda) - \frac{Im \bar{\varphi}}{Im \gamma} \Theta(\bar{\varphi}, \gamma) \Theta(\gamma, \lambda) = \frac{Im \bar{\varphi}}{Im \bar{\gamma}} \Theta(\bar{\varphi}, \lambda),$$

thus we get

$$\Theta(\bar{\gamma}, \lambda) - \Theta_{\gamma}(\varphi) \Theta(\gamma, \lambda) = \frac{Im \bar{\varphi}}{Im \bar{\gamma}} \Theta^{-*}(\bar{\gamma}, \bar{\varphi}) \Theta(\bar{\varphi}, \lambda).$$

By similar computations we have

$$\Theta(\gamma, \lambda) - \Theta_{\bar{\gamma}}^*(\varphi) \Theta(\bar{\gamma}, \lambda) = \frac{Im \varphi}{Im \gamma} \Theta^{-*}(\gamma, \varphi) \Theta(\varphi, \lambda),$$

hence formula (3.18) takes the form

$$\Theta_{\Theta_{\bar{\gamma}}^*(\varphi)}(\lambda) = \Theta^{-*}(\bar{\gamma}, \bar{\varphi}) \Theta(\bar{\varphi}, \lambda) \Theta^{-1}(\varphi, \lambda) \Theta^*(\gamma, \varphi) = \Theta^{-*}(\bar{\gamma}, \bar{\varphi}) \Theta_{\varphi}(\lambda) \Theta^*(\gamma, \varphi).$$

Finally, applying equation (2.17) once more, we arrive at the result

$$\Theta_{\Theta_{\bar{\gamma}}^*(\varphi)}(\lambda) = \Theta^{-1}(\bar{\varphi}, \bar{\gamma}) \Theta_{\varphi}(\lambda) \Theta(\varphi, \gamma).$$

4. On Weyl function and some of its applications

4.1.

Let $\gamma_0 \in C^+$ be fixed and $V(\gamma_0) \in [\mathfrak{N}_{\gamma_0}, \mathfrak{N}_{\gamma_0}]$ be an isometry. Consider the von Neumann's self-adjoint extensions

$$\mathcal{D}(A_{\pm V}) = Ker [\mathcal{P}_{\gamma_0} \mp V(\gamma_0) \mathcal{P}_{\bar{\gamma}_0}]; \quad A_{\pm V} = A^* | \mathcal{D}(A_{\pm V}) \quad (4.1)$$

of A_0 . Let $\gamma \neq \gamma_0$ be arbitrary. Then, by Proposition 2.8, the operators

$$V_{\pm}^*(\gamma) = \Phi_{W(\gamma, \gamma_0)} [\mp V^*(\gamma_0)] \in [\mathfrak{N}_{\gamma}, \mathfrak{N}_{\bar{\gamma}}]$$

are isometries too. Making use (2.20) and (2.18) one can see that

$$V_{\pm}(\gamma) = \Phi_{W(\gamma, \gamma_0)}^* [\mp V^*(\gamma_0)] = -M_{\gamma_0 \pm}^{-1}(\gamma) M_{\gamma_0 \pm}(\bar{\gamma}) \in [\mathfrak{N}_{\bar{\gamma}}, \mathfrak{N}_{\gamma}], \quad (4.2)$$

where

$$M_{\gamma_0 \pm}(\gamma) = \Theta(\gamma_0, \gamma) \pm V(\gamma_0) \Theta(\bar{\gamma}_0, \gamma). \quad (4.3)$$

Evidently $M_{\gamma_0 \pm}(\gamma_0) = I_{\gamma_0}$, $M_{\gamma_0 \pm}(\bar{\gamma}_0) = \pm V(\gamma_0)$.

The following assertion establishes the meaning of isometries $V_{\pm}(\gamma)$.

Proposition 4.1 *Let self-adjoint extensions $A_{\pm V}$ be given by (4.1). Then the isometries $V_{\pm}(\gamma)$ defined by (4.2), (4.3) are such that*

$$Ker [\mathcal{P}_{\gamma} - V_{\mp}(\gamma) \mathcal{P}_{\bar{\gamma}}] = Ker [\mathcal{P}_{\gamma_0} \mp V(\gamma_0) \mathcal{P}_{\bar{\gamma}_0}] = \mathcal{D}(A_{\pm V})$$

for arbitrary $\gamma \in C^+$.

Proof. Since $f = f_0 + f_\gamma + f_{\bar{\gamma}} \in \mathcal{D}(A_V)$ if and only if $[\mathcal{P}_{\gamma_0} - V(\gamma_0)\mathcal{P}_{\bar{\gamma}_0}]f = 0$, therefore, in view of (4.3), we have

$$[\mathcal{P}_{\gamma_0} - V(\gamma_0)\mathcal{P}_{\bar{\gamma}_0}]f_\gamma + [\mathcal{P}_{\gamma_0} - V(\gamma_0)\mathcal{P}_{\bar{\gamma}_0}]f_{\bar{\gamma}} = M_{\gamma_0-}(\gamma)f_\gamma + M_{\gamma_0-}(\bar{\gamma})f_{\bar{\gamma}} = 0.$$

Thus we obtain $f_\gamma + M_{\gamma_0-}^{-1}(\gamma)M_{\gamma_0-}(\bar{\gamma})f_{\bar{\gamma}} = 0$, or, due to (4.2), $f \in \text{Ker}[\mathcal{P}_\gamma - V_-(\gamma)\mathcal{P}_{\bar{\gamma}}]$.

The case of A_{-V} and $V_+(\gamma)$ is treated analogously, completing the proof.

We wish to emphasize that formulas (4.2) and (4.3) build the family $\{V_-(\gamma)\}_{\gamma \in C^+}$ of isometries, which is associated with A_V (or $V(\gamma_0)$) in the sense of Proposition 4.1.

Following V. A. Derkach, M. M. Malamud [1] (Sec 1), the operator-valued function

$$M_V(\varphi) = iM_{\gamma_0+}(\varphi)M_{\gamma_0-}^{-1}(\varphi), \quad \varphi \in C^- \cup C^+, \quad (4.4)$$

analytical in $C^- \cup C^+$, is the Weyl function of A_V . The Weyl function of A_{-V} is

$$M_{-V}(\varphi) = iM_{\gamma_0-}(\varphi)M_{\gamma_0+}^{-1}(\varphi) = -M_V^{-1}(\varphi).$$

Proposition 4.2 *The Weyl function satisfies the identity*

$$M_V(\varphi) - M_V^*(\psi) = 2i \frac{\text{Im } \psi}{\text{Im } \gamma_0} M_{\gamma_0-}^{-*}(\psi) \Theta(\psi, \varphi) M_{\gamma_0-}^{-1}(\varphi). \quad (4.5)$$

Proof. From definition (4.4) we have

$$M_V(\varphi) - M_V^*(\psi) = M_{\gamma_0-}^{-*}(\psi) [M_{\gamma_0-}^*(\psi)M_{\gamma_0+}(\varphi) + M_{\gamma_0+}^*(\psi)M_{\gamma_0-}(\varphi)] M_{\gamma_0-}^{-1}(\varphi).$$

Taking into account (4.3), (2.18) and (2.16), the square bracket above is readily transformed to

$$\begin{aligned} & 2[\Theta^*(\gamma_0, \psi)\Theta(\gamma_0, \varphi) - \Theta^*(\bar{\gamma}_0, \psi)\Theta(\bar{\gamma}_0, \varphi)] = \\ & = 2 \frac{\text{Im } \psi}{\text{Im } \gamma_0} [\Theta(\psi, \gamma_0)\Theta(\gamma_0, \varphi) + \Theta(\psi, \bar{\gamma}_0)\Theta(\bar{\gamma}_0, \varphi)] = 2 \frac{\text{Im } \psi}{\text{Im } \gamma_0} \Theta(\psi, \varphi) \end{aligned}$$

with the result.

As a corollary, setting in (4.5) first $\psi = \bar{\varphi}$, and then $\psi = \varphi$, we obtain the following well known properties of M -function (see [1] Sec. 1)

$$M_V(\varphi) = M_V^*(\bar{\varphi}); \quad \frac{M_V(\varphi) - M_V^*(\varphi)}{\varphi - \bar{\varphi}} = \frac{1}{\text{Im } \gamma_0} M_{\gamma_0-}^{-*}(\varphi) M_{\gamma_0-}^{-1}(\varphi). \quad (4.6)$$

From (4.4) and (4.3) it follows that in C^+ we have also the following presentation of M -function by means of Θ -function

$$\begin{aligned} M_V(\lambda) &= i[I_{\gamma_0} + V(\gamma_0)\Theta_{\gamma_0}(\lambda)][I_{\gamma_0} - V(\gamma_0)\Theta_{\gamma_0}(\lambda)]^{-1} = \\ &= i[I_{\gamma_0} - V(\gamma_0)\Theta_{\gamma_0}(\lambda)]^{-1}[I_{\gamma_0} + V(\gamma_0)\Theta_{\gamma_0}(\lambda)] = \\ &= i\{I_{\gamma_0} + 2[I_{\gamma_0} - V(\gamma_0)\Theta_{\gamma_0}(\lambda)]^{-1}V(\gamma_0)\Theta_{\gamma_0}(\lambda)\}. \end{aligned} \quad (4.7)$$

The presentation of M -function in C^- is similar

$$\begin{aligned}
M_V(\zeta) &= i [\Theta_{\bar{\gamma}_0}(\zeta) + V(\gamma_0)] [\Theta_{\bar{\gamma}_0}(\zeta) - V(\gamma_0)]^{-1} = \\
&= -i [I_{\gamma_0} + \Theta_{\bar{\gamma}_0}(\zeta)V^*(\gamma_0)] [I_{\gamma_0} - \Theta_{\bar{\gamma}_0}(\zeta)V^*(\gamma_0)]^{-1} = \\
&= -i \{ I_{\gamma_0} + 2\Theta_{\bar{\gamma}_0}(\zeta)V^*(\gamma_0) [I_{\gamma_0} - \Theta_{\bar{\gamma}_0}(\zeta)]^{-1} \}.
\end{aligned} \tag{4.8}$$

In the presence of Proposition 4.1 and formula (4.4), we have another M -function of A_V , namely, the operator function

$$M_{V_-(\gamma)}(\varphi) = i [\Theta(\gamma, \varphi) + V_-(\gamma)\Theta(\bar{\gamma}, \varphi)] [\Theta(\gamma, \varphi) - V_-(\gamma)\Theta(\bar{\gamma}, \varphi)]^{-1}. \tag{4.9}$$

Again, in C^+ it holds that

$$\begin{aligned}
M_{V_-(\gamma)}(\lambda) &= i [I_\gamma + V_-(\gamma)\Theta_\gamma(\lambda)] [I_\gamma - V_-(\gamma)\Theta_\gamma(\lambda)]^{-1} = \\
&= i \{ I_\gamma + 2 [I_\gamma - V_-(\gamma)\Theta_\gamma(\lambda)]^{-1} V_-(\gamma)\Theta_\gamma(\lambda) \}.
\end{aligned}$$

Now with A_V (or $M_V(\cdot)$) associates the family $\{(M_V)_\gamma(\cdot) := M_{V_-(\gamma)}(\cdot)\}_{\gamma \in C^+}$ of M -functions of A_V . The following theorem contains its description in terms of M -function $M_V(\cdot)$.

Theorem 4.1 *For arbitrary $\gamma \in C^+$ the following formula takes place*

$$(M_V)_\gamma(\lambda) = i \{ I - 2\Theta(\gamma, \lambda)\Theta^{-1}(\gamma_0, \lambda) [I_{\gamma_0} - V(\gamma_0)\Theta_{\gamma_0}(\lambda)]^{-1} M_{\gamma_0-}(\bar{\gamma}) \Theta_\gamma(\lambda) \}. \tag{4.10}$$

Proof. From (4.2) we have

$$\begin{aligned}
[I_\gamma - V_-(\gamma)\Theta_\gamma(\lambda)]^{-1} &= [I_\gamma + M_{\gamma_0-}^{-1}(\gamma)M_{\gamma_0-}(\bar{\gamma})\Theta_\gamma(\lambda)]^{-1} = \\
&= [M_{\gamma_0-}(\gamma) + M_{\gamma_0-}(\bar{\gamma})\Theta_\gamma(\lambda)]^{-1} M_{\gamma_0-}(\gamma) = \\
&= \Theta(\gamma, \lambda) [M_{\gamma_0-}(\gamma)\Theta(\gamma, \lambda) + M_{\gamma_0-}(\bar{\gamma})\Theta(\bar{\gamma}, \lambda)]^{-1} M_{\gamma_0-}(\gamma).
\end{aligned}$$

In view of (4.3), the square bracket above is

$$\begin{aligned}
&\Theta(\gamma_0, \gamma)\Theta(\gamma, \lambda) - V(\gamma_0)\Theta(\bar{\gamma}_0, \gamma)\Theta(\gamma, \lambda) + \Theta(\gamma_0, \bar{\gamma})\Theta(\bar{\gamma}, \lambda) - V(\gamma_0)\Theta(\bar{\gamma}_0, \bar{\gamma})\Theta(\bar{\gamma}, \lambda) = \\
&= \Theta(\gamma_0, \lambda) - V(\gamma_0)\Theta(\bar{\gamma}_0, \lambda) = [I_{\gamma_0} - V(\gamma_0)\Theta_{\gamma_0}(\lambda)]\Theta(\gamma_0, \lambda).
\end{aligned}$$

Thus formula (4.9) can be rewritten as

$$M_{V_-(\gamma)}(\lambda) = i \{ I_\gamma + 2\Theta(\gamma, \lambda)\Theta^{-1}(\gamma_0, \lambda) [I_{\gamma_0} - V(\gamma_0)\Theta_{\gamma_0}(\lambda)]^{-1} M_{\gamma_0-}(\gamma) V_-(\gamma)\Theta_\gamma(\lambda) \},$$

which is (4.10), since $V_-(\gamma) = -M_{\gamma_0-}^{-1}(\gamma)M_{\gamma_0-}(\bar{\gamma})$.

If $\gamma = \gamma_0$ in (4.10), apparently we have (4.8), since $M_{\gamma_0-}(\bar{\gamma}_0) = -V(\gamma_0)$, and the proof is complete.

The analogous formula can be obtained for $(M_V)_\gamma(\zeta)$, $\zeta \in C^-$ by virtue of (4.9) and $M_V(\zeta) = M_V^*(\bar{\zeta})$.

4.2.

Here we present modified formulas of von Neumann, stimulated by the following alteration of decomposition (2.1).

For arbitrary $\gamma \in C^+$ and $\zeta \in C^-$ it takes place the direct-sum decomposition

$$\mathcal{D}(A_0^*) = \mathcal{D}(A_0) \dot{+} \mathfrak{N}_\gamma \dot{+} \mathfrak{N}_\zeta. \quad (4.11)$$

Indeed, since $\text{Ran}(A_\gamma - \zeta I) = \mathfrak{H}$, hence for any $f \in \mathcal{D}(A_0^*)$ there exists the unique $g \in \mathcal{D}(A_\gamma)$ such that $(A_0^* - \zeta I)f = (A_\gamma - \zeta I)g$. Thus we have $(A_0^* - \zeta I)(f - g) = 0$, which is $f - g \in \mathfrak{N}_\zeta$, proving (4.11).

Let domain of self-adjoint extension A_V be given by

$$\mathcal{D}(A_V) = \{f \in \mathcal{D}(A_0^*); f = f_0 + V(\gamma)f_{\bar{\gamma}} + f_{\bar{\gamma}}\}.$$

In the presence of (4.11) we have

$$\mathcal{D}(A_V) \ni f = f_0 + V(\gamma)f_{\bar{\gamma}} + f_{\bar{\gamma}} = g_0 + g_\gamma + g_\zeta. \quad (4.12)$$

From (2.13) we know that

$$g_\zeta = u_0 + u_\gamma + u_{\bar{\gamma}} = u_0 + \Theta(\gamma, \zeta)g_\zeta + \Theta(\bar{\gamma}, \zeta)g_\zeta,$$

therefore

$$f = f_0 + V(\gamma)f_{\bar{\gamma}} + f_{\bar{\gamma}} = (g_0 + u_0) + [g_\gamma + \Theta(\gamma, \zeta)g_\zeta] + \Theta(\bar{\gamma}, \zeta)g_\zeta,$$

which means that $g_\gamma + \Theta(\gamma, \zeta)g_\zeta = V(\gamma)f_{\bar{\gamma}}$, $f_{\bar{\gamma}} = \Theta(\bar{\gamma}, \zeta)g_\zeta$.

Thus we have $g_\gamma = V(\gamma)f_{\bar{\gamma}} - \Theta(\gamma, \zeta)g_\zeta = [V(\gamma)\Theta(\bar{\gamma}, \zeta) - \Theta(\gamma, \zeta)]g_\zeta$, and, in view of (4.3), formula (4.12) takes the final form

$$\mathcal{D}(A_V) \ni f = g_0 - [\Theta(\gamma, \zeta) - V(\gamma)\Theta(\bar{\gamma}, \zeta)]g_\zeta + g_\zeta = g_0 - M_{\gamma-}(\zeta)g_\zeta + g_\zeta. \quad (4.13)$$

Theorem 4.2 *Let an operator $M(\gamma, \zeta) \in [\mathfrak{N}_\zeta, \mathfrak{N}_\gamma]$ be bounded invertible. Then the operator*

$$A_M = A_0^* | \text{Ker} [\mathcal{P}_\gamma + M(\gamma, \zeta)\mathcal{P}_\zeta]$$

is a self-adjoint extension of A_0 if and only if $M(\gamma, \zeta)$ possesses the property

$$\begin{aligned} & (\gamma - \bar{\gamma})M^*(\gamma, \zeta)M(\gamma, \zeta) + (\zeta - \bar{\zeta})I_\zeta - \\ & - (\gamma - \bar{\gamma})[\Theta^*(\gamma, \zeta)M(\gamma, \zeta) + M^*(\gamma, \zeta)\Theta(\gamma, \zeta)] = 0. \end{aligned} \quad (4.14)$$

Proof. In the presence of (4.13), the necessary condition will be proved, if we will show that the function $M_{\gamma-}(\zeta)$ satisfies (4.14). By virtue of (2.17) and (2.15) we have

$$\begin{aligned} M_{\gamma-}^*(\zeta)M_{\gamma-}(\zeta) &= [\Theta^*(\gamma, \zeta) - \Theta^*(\bar{\gamma}, \zeta)V^*(\gamma)][\Theta(\gamma, \zeta) - V(\gamma)\Theta(\bar{\gamma}, \zeta)] = \\ &= [\Theta^*(\gamma, \zeta)\Theta(\gamma, \zeta) + \Theta^*(\bar{\gamma}, \zeta)\Theta(\bar{\gamma}, \zeta)] - [\Theta^*(\gamma, \zeta)V(\gamma)\Theta(\bar{\gamma}, \zeta) + \Theta^*(\bar{\gamma}, \zeta)V^*(\gamma)\Theta(\gamma, \zeta)] = \\ &= [-\Theta^*(\gamma, \zeta)\Theta(\gamma, \zeta) + \Theta^*(\bar{\gamma}, \zeta)\Theta(\bar{\gamma}, \zeta)] + \\ &+ [2\Theta^*(\gamma, \zeta)\Theta(\gamma, \zeta) - \Theta^*(\gamma, \zeta)V(\gamma)\Theta(\bar{\gamma}, \zeta) - \Theta^*(\bar{\gamma}, \zeta)V^*(\gamma)\Theta(\gamma, \zeta)] = \\ &= -\frac{Im\zeta}{Im\gamma}I_\zeta + \Theta^*(\gamma, \zeta)M_{\gamma-}(\zeta) + M_{\gamma-}^*(\zeta)\Theta(\gamma, \zeta), \end{aligned}$$

which is (4.14).

To prove the sufficient condition we only have to verify that the operator A_M is Hermitian, since invertibility of $M(\gamma, \zeta)$ means that deficiency index of A_M is $(0, 0)$. Let $f, g \in \mathcal{D}(A_M)$, so

$$A_M f = A_0 f_0 - \gamma M(\gamma, \zeta) f_\zeta + \zeta f_\zeta, \quad A_M g = A_0 g_0 - \gamma M(\gamma, \zeta) g_\zeta + \zeta g_\zeta.$$

By straightforward computations one can obtain

$$\begin{aligned} \langle A_M f, g \rangle - \langle f, A_M g \rangle &= (\gamma - \bar{\gamma}) \langle M(\gamma, \zeta) f_\zeta, M(\gamma, \zeta) g_\zeta \rangle + (\zeta - \bar{\zeta}) \langle f_\zeta, g_\zeta \rangle - \\ &- (\gamma - \bar{\zeta}) \langle M(\gamma, \zeta) f_\zeta, g_\zeta \rangle - (\zeta - \bar{\gamma}) \langle f_\zeta, M(\gamma, \zeta) g_\zeta \rangle. \end{aligned} \quad (4.15)$$

Since $M(\gamma, \zeta) \in [\mathfrak{N}_\zeta, \mathfrak{N}_\gamma]$, it is apparent that

$$\langle M(\gamma, \zeta) f_\zeta, M(\gamma, \zeta) g_\zeta \rangle = \langle M^*(\gamma, \zeta) M(\gamma, \zeta) f_\zeta, g_\zeta \rangle.$$

To transform the last two summands of (4.15) we apply formula (2.10) in the form $\Theta(\gamma, \zeta) = \frac{\zeta - \bar{\gamma}}{\gamma - \bar{\gamma}} P_\gamma | \mathfrak{N}_\zeta$. Then

$$\begin{aligned} (\gamma - \bar{\zeta}) \langle M(\gamma, \zeta) f_\zeta, g_\zeta \rangle &= (\gamma - \bar{\zeta}) \langle M(\gamma, \zeta) f_\zeta, P_\gamma g_\zeta \rangle = \\ &= (\gamma - \bar{\zeta}) \frac{\bar{\gamma} - \gamma}{\bar{\zeta} - \gamma} \langle M(\gamma, \zeta) f_\zeta, \Theta(\gamma, \zeta) g_\zeta \rangle = (\gamma - \bar{\gamma}) \langle \Theta^*(\gamma, \zeta) M(\gamma, \zeta) f_\zeta, g_\zeta \rangle. \end{aligned}$$

Analogously,

$$\begin{aligned} (\zeta - \bar{\gamma}) \langle f_\zeta, M(\gamma, \zeta) g_\zeta \rangle &= (\zeta - \bar{\gamma}) \langle P_\gamma f_\zeta, M(\gamma, \zeta) g_\zeta \rangle = \\ &= (\zeta - \bar{\gamma}) \frac{\gamma - \bar{\gamma}}{\zeta - \bar{\gamma}} \langle \Theta(\bar{\gamma}, \zeta) f_\zeta, M(\gamma, \zeta) g_\zeta \rangle = (\gamma - \bar{\gamma}) \langle M^*(\gamma, \zeta) \Theta(\gamma, \zeta) f_\zeta, g_\zeta \rangle. \end{aligned}$$

The proof is finished.

Note that if A_M is a self-adjoint extension defined by $M(\gamma, \zeta)$, then there exists the unique isometry $V_M \in [\mathfrak{N}_\gamma, \mathfrak{N}_\gamma]$ such that $A_{V_M} = A_M$. Uniqueness of presentation (4.12) and formula (4.13) imply that

$$M(\gamma, \zeta) = \Theta(\gamma, \zeta) - V_M \Theta(\bar{\gamma}, \zeta).$$

The special particular case of Theorem 4.2 we get, when $\zeta = -\gamma$. Then $M_{\gamma-}(-\gamma) \in [\mathfrak{N}_{-\gamma}, \mathfrak{N}_\gamma]$ and corresponding formulas are

$$f = f_0 - M_{\gamma-}(-\gamma)f_{-\gamma} + f_{-\gamma}; \quad A_M f = A_0 f_0 - \gamma M_{\gamma-}(-\gamma)f_{-\gamma} - \gamma f_{-\gamma}.$$

4.3.

Let A_V be the self-adjoint extension of A_0 of preceding Section and $R(A_V, \lambda)$ be its resolvent on C^+ .

Proposition 4.3 *The resolvent of A_V satisfies the identity*

$$R(A_V, \lambda) | \mathfrak{N}_{\bar{\lambda}} = -\frac{1}{\lambda - \bar{\lambda}} [I_{\bar{\lambda}} + V_-(\lambda)] = -\frac{1}{\lambda - \bar{\lambda}} [I_{\bar{\lambda}} - M_{\gamma_-}^{-1}(\lambda) M_{\gamma_-}(\bar{\lambda})]. \quad (4.16)$$

Proof. Let $f_{\bar{\lambda}} \in \mathfrak{N}_{\bar{\lambda}}$ be arbitrary. Since

$$(A_V - \bar{\lambda}I)(A_V - \lambda I)^{-1} f_{\bar{\lambda}} = [I_{\bar{\lambda}} + (\lambda - \bar{\lambda})(A_V - \lambda I)^{-1}] f_{\bar{\lambda}} = f_{\bar{\lambda}} \in \mathfrak{N}_{\lambda},$$

hence

$$f_{\lambda} - f_{\bar{\lambda}} = (\lambda - \bar{\lambda})(A_V - \lambda I)^{-1} f_{\bar{\lambda}} = (\lambda - \bar{\lambda}) R(A_V, \lambda) f_{\bar{\lambda}} \in \mathcal{D}(A_V).$$

From Proposition 4.1 it now follows that $f_{\lambda} = -V_-(\lambda) f_{\bar{\lambda}}$, proving (4.16).

Relation (4.16) suggests one more proof of Kreĭn's resolvent formula. For short, here we omit some indices, so isometries $V, \tilde{V} \in [\mathfrak{N}_{\gamma}, \mathfrak{N}_{\bar{\gamma}}]$ define self-adjoint extensions A, \tilde{A} of A_0 with M -functions

$$M(\lambda) = iM_+(\lambda) M_-^{-1}(\lambda), \quad \tilde{M}(\lambda) = i\tilde{M}_+(\lambda) \tilde{M}_-^{-1}(\lambda).$$

Now from (4.16) we have

$$\left[R(\tilde{A}, \lambda) - R(A, \lambda) \right] | \mathfrak{N}_{\bar{\lambda}} = \frac{1}{\lambda - \bar{\lambda}} \left[\tilde{M}_-^{-1}(\lambda) \tilde{M}_-(\bar{\lambda}) - M_-^{-1}(\lambda) M_-(\bar{\lambda}) \right]. \quad (4.17)$$

To derive the desired formula from (4.17), first we assume that $1 \in \bar{\sigma}_p(\tilde{V}V^*)$, which is necessary and sufficient for the pair (A, \tilde{A}) to be relatively prime, that is $\mathcal{D}(A) \cap \mathcal{D}(\tilde{A}) = \mathcal{D}(A_0)$. Next, from (4.3) it follows that

$$\Theta(\gamma, \lambda) = \frac{1}{2} [M_+(\lambda) + M_-(\lambda)], \quad \Theta(\bar{\gamma}, \lambda) = \frac{1}{2} V^* [M_+(\lambda) - M_-(\lambda)],$$

therefore

$$\begin{aligned} \tilde{M}_-(\lambda) &= \frac{1}{2} \left\{ [M_+(\lambda) + M_-(\lambda)] - \tilde{V}V^* [M_+(\lambda) - M_-(\lambda)] \right\} = \\ &= \frac{1}{2} \left[(I - \tilde{V}V^*) M_+(\lambda) + (I + \tilde{V}V^*) M_-(\lambda) \right] = \\ &= -\frac{i}{2} (I - U) [i(I - U)^{-1} (I + U) + M(\lambda)] M_-(\lambda), \end{aligned}$$

where $U = \tilde{V}V^* \in [\mathfrak{N}_{\gamma}]$ is a unitary operator.

The Cayley transform $\mathcal{T} = i(I + U)(I - U)^{-1}$ is a self-adjoint operator, possibly unbounded. For unbounded \mathcal{T} it is readily verified that $i(I + U)(I - U)^{-1} f = i(I - U)^{-1} (I + U) f$ for any $f \in \mathcal{D}((I - U)^{-1})$, hence

$$\tilde{M}_-(\lambda) = -\frac{i}{2} (I - U) [M(\lambda) + \mathcal{T}] M_-(\lambda),$$

so

$$\tilde{M}_-^{-1}(\lambda)\tilde{M}_-(\bar{\lambda}) = M_-^{-1}(\lambda)[M(\lambda) + \mathcal{T}]^{-1}[M(\bar{\lambda}) + \mathcal{T}]M_-(\bar{\lambda}).$$

Now the right hand of (4.17) is

$$\begin{aligned} & \frac{1}{\lambda - \bar{\lambda}}M_-^{-1}(\lambda)\{[M(\lambda) + \mathcal{T}]^{-1}[M(\bar{\lambda}) + \mathcal{T}] - I\}M_-(\bar{\lambda}) = \\ & = \frac{1}{\lambda - \bar{\lambda}}M_-^{-1}(\lambda)[M(\lambda) + \mathcal{T}]^{-1}[M(\bar{\lambda}) - M(\lambda)]M_-(\bar{\lambda}). \end{aligned}$$

Finally, taking into account (4.6), formula (4.17) transforms to the Kreĭn's resolvent formula

$$\left[R(\tilde{A}, \lambda) - R(A, \lambda)\right]|\mathfrak{R}_{\bar{\lambda}} = -\frac{1}{Im \lambda}M_-^{-1}(\lambda)[M(\lambda) + \mathcal{T}]^{-1}M_-^{-*}(\bar{\lambda}).$$

4.4.

Here we turn to the resolvent of Weyl function. Let

$$M_V(\lambda) = i[I_\gamma + V\Theta_\gamma(\lambda)][I_\gamma - V\Theta_\gamma(\lambda)]^{-1}, \quad V \in [\mathfrak{R}_\gamma, \mathfrak{R}_\gamma].$$

In what follows we assume that some $\lambda \in C^+$ be fixed. Consider the linear fractional transformation $L(\sigma) = \omega = \frac{\sigma + i}{\sigma - i}$, which maps the complex plane Σ onto the plane Ω . Clearly, $L(\Sigma^+)$ and $L(\Sigma^-)$ are the interior and exterior of circle $|\omega| = 1$ respectively. The inverse mapping is $L^{-1}(\omega) = \sigma = -i\frac{1 + \omega}{1 - \omega}$.

Formula (4.6) implies that $Im M_V(\lambda) > 0$, hence the closed lower half-plane $Im \sigma \leq 0$ is a subset of resolvent set $\rho(M_V(\lambda))$. Moreover, the following assertion is true.

Proposition 4.4 *The resolvent set of $M_V(\lambda)$ comprises the exterior of the closed disk*

$$\mathcal{D}(\sigma_\ell, r_\ell) = \left\{ \sigma \in \Sigma^+; \left| \frac{\sigma - i}{\sigma + i} \right| \leq \ell, \ell = \left| \frac{\lambda - \gamma}{\lambda - \bar{\gamma}} \right| < 1 \right\}$$

with the center $\sigma_\ell = i\frac{1 + \ell^2}{1 - \ell^2}$ and radius $r_\ell = \frac{2}{1 - \ell^2}$.

A point $\sigma' \in \sigma_p(M_V(\lambda))$ if and only if $\frac{1}{L(\sigma')} = \omega' \in \sigma_p(V\Theta_\gamma(\lambda))$.

Proof. Let σ be arbitrary. Then

$$\begin{aligned} M_V(\lambda) - \sigma I_\gamma &= \{i[I_\gamma + V\Theta_\gamma(\lambda)] - \sigma[I_\gamma - V\Theta_\gamma(\lambda)]\}[I_\gamma - V\Theta_\gamma(\lambda)]^{-1} = \\ &= [-(\sigma - i)I_\gamma + (\sigma + i)V\Theta_\gamma(\lambda)][I_\gamma - V\Theta_\gamma(\lambda)]^{-1} = \\ &= -(\sigma - i)\left[I_\gamma - \frac{\sigma + i}{\sigma - i}V\Theta_\gamma(\lambda)\right][I_\gamma - V\Theta_\gamma(\lambda)]^{-1} = \\ &= \frac{2i}{1 - \omega}[I_\gamma - \omega V\Theta_\gamma(\lambda)][I_\gamma - V\Theta_\gamma(\lambda)]^{-1}. \end{aligned} \tag{4.18}$$

In the presence of estimate (3.9) we conclude that if $\frac{1}{|\omega|} > \ell$, then the operator $I_\gamma - \omega V \Theta_\gamma(\lambda)$ is bounded invertible, hence the same is $M_V(\lambda) - \sigma I$. Owing equation (4.18), we obtain also the second assertion, completing the proof.

Let $|\omega| = 1$, $\omega \neq 1$, so ωV is an isometry. The corresponding self-adjoint extension of A_0 and its M -function denote respectively by $A_{\omega V}$ and

$$M_{\omega V}(\lambda) = i [I_\gamma + \omega V \Theta(\lambda)] [I_\gamma - \omega V \Theta(\lambda)]^{-1}. \quad (4.19)$$

The following theorem is proved in [9] for canonical differential operator.

Theorem 4.3 *If $\sigma \in (-\infty, \infty)$, then*

$$[M_V(\lambda) - \sigma I_\gamma]^{-1} = -\frac{1}{\sigma^2 + 1} [M_{\omega V}(\lambda) + \sigma I_\gamma], \quad \omega = L(\sigma).$$

Proof. From (4.19) by direct computations we get

$$\begin{aligned} M_{\omega V}(\lambda) + \sigma I_\gamma &= M_{\omega V}(\lambda) - i \frac{1+\omega}{1-\omega} I_\gamma = \\ &= i \left[\left(1 - \frac{1+\omega}{1-\omega}\right) I_\gamma + \omega \left(1 + \frac{1+\omega}{1-\omega}\right) V \Theta_\gamma(\lambda) \right] [I_\gamma - \omega V \Theta_\gamma(\lambda)]^{-1} = \\ &= -2i \frac{\omega}{1-\omega} [I_\gamma - V \Theta_\gamma(\lambda)] [I_\gamma - \omega V \Theta_\gamma(\lambda)]^{-1}. \end{aligned}$$

Now, from (4.18) we obtain

$$[M_V(\lambda) - \sigma I_\gamma]^{-1} = \frac{1-\omega}{2i} [I_\gamma - V \Theta_\gamma(\lambda)] [I_\gamma - \omega V \Theta_\gamma(\lambda)]^{-1} = \frac{(1-\omega)^2}{4\omega} [M_{\omega V} + \sigma I_\gamma].$$

It is readily verified that $\frac{(1-\omega)^2}{4\omega} = -\frac{1}{\sigma^2 + 1}$, completing the proof.

Note that the formula proved above can be considered as a generalization of formula $M_V^{-1}(\lambda) = -M_{-V}(\lambda)$ in Section 4.1.

To compute the resolvent of $M_V(\lambda)$ outside of real axis, consider the family $\{K_\omega = \omega V, |\omega| < 1\}$ of contractions. According to (3.16) and (3.17), the Θ -function of dissipative extension A_{K_ω} is

$$\begin{aligned} \Theta_{\omega V}(\lambda) &= [\Theta_\gamma(\lambda) - \bar{\omega} V^*] [I_\gamma - \omega V \Theta_\gamma(\lambda)]^{-1} = \\ &= -V^* [\bar{\omega} I_\gamma - V \Theta_\gamma(\lambda)] [I_\gamma - \omega V \Theta_\gamma(\lambda)]^{-1} = -V^* \mathcal{X}_{\omega V}(\lambda). \end{aligned} \quad (4.20)$$

If $\ell < |\omega| < 1$, then the operator $\mathcal{X}_{\omega V}(\lambda)$ is invertible and

$$\begin{aligned} \mathcal{X}_{\omega V}^{-1}(\lambda) &= [I_\gamma - \omega V \Theta_\gamma(\lambda)] [\bar{\omega} I_\gamma - V \Theta_\gamma(\lambda)]^{-1} = \\ &= \omega \omega_* [\bar{\omega}_* I_\gamma - V \Theta_\gamma(\lambda)] [I_\gamma - \omega_* V \Theta_\gamma(\lambda)]^{-1} = \omega \omega_* \mathcal{X}_{\omega_* V}(\lambda), \end{aligned}$$

where $\omega_* = \frac{1}{\bar{\omega}}$ belongs to the ring $1 < |\omega| < \frac{1}{\ell}$. It is also clear, that the image of this ring under the mapping $\sigma = L^{-1}(\omega)$ is $\Sigma^+ \setminus \mathcal{D}(\sigma_\ell, r_\ell)$.

Theorem 4.4 *The following formula takes place*

$$[M_V(\lambda) - \sigma I_\gamma]^{-1} = \begin{cases} \frac{1}{\sigma - \bar{\sigma}} \left[\frac{\bar{\sigma} + i}{\sigma - i} \mathcal{X}_{\omega V}(\lambda) - I_\gamma \right], & \sigma \in \Sigma^-, \omega = L(\sigma) \\ \frac{1}{\sigma - \bar{\sigma}} \left[\frac{\bar{\sigma} + i}{\sigma - i} \mathcal{X}_{\omega_* V}(\lambda) - I_\gamma \right], & \sigma \in \Sigma^+ \setminus \mathcal{D}(\sigma_\ell, r_\ell), \omega_* = L(\sigma). \end{cases}$$

Proof. We repeat the steps of the preceding proof.

If $\sigma \in \Sigma^-$, from (4.20) we have

$$\begin{aligned} \mathcal{X}_{\omega V}(\lambda) + \frac{1 - \bar{\omega}}{1 - \omega} I_\gamma &= \left[\left(\bar{\omega} + \frac{1 - \bar{\omega}}{1 - \omega} \right) I_\gamma - \left(1 + \omega \frac{1 - \bar{\omega}}{1 - \omega} \right) V \Theta_\gamma(\lambda) \right] [I_\gamma - \omega V \Theta_\gamma(\lambda)]^{-1} = \\ &= \frac{1 - \omega \bar{\omega}}{1 - \omega} [I_\gamma - V \Theta_\gamma(\lambda)] [I_\gamma - \omega V \Theta_\gamma(\lambda)]^{-1}, \end{aligned}$$

hence from (4.18) it follows that

$$[M_V(\lambda) - \sigma I_\gamma]^{-1} = \frac{(1 - \omega)^2}{2i(1 - \omega \bar{\omega})} \left[\mathcal{X}_{\omega V}(\lambda) + \frac{1 - \bar{\omega}}{1 - \omega} I_\gamma \right].$$

Again it is readily verified that

$$\frac{(1 - \omega)^2}{2i} = \frac{2i}{(\sigma - i)^2}; \quad 1 - \omega \bar{\omega} = \frac{2i(\sigma - \bar{\sigma})}{(\sigma - i)(\bar{\sigma} + i)}; \quad \frac{1 - \bar{\omega}}{1 - \omega} = -\frac{\sigma - i}{\bar{\sigma} + i},$$

thus

$$[M_V(\lambda) - \sigma I_\gamma]^{-1} = \frac{1}{\sigma - \bar{\sigma}} \frac{\bar{\sigma} + i}{\sigma - i} \left[\mathcal{X}_{\omega V}(\lambda) - \frac{\sigma - i}{\bar{\sigma} + i} I_\gamma \right],$$

proving the first equality.

The second is verified on the same way, taking into account that the point i is in $\mathcal{D}(\sigma_\ell, r_\ell)$. The proof is finished.

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